

Breaches Report

Breach Number	Year	Date of Breach / Likely Breach	Failure Type	A description of the breach (including relevant dates), its cause and effect, including the reasons it is, or is not, believed to be of material significance	Been reported to tPR before	RAG Status	Report to tPR	Actions taken to rectify the breach. A brief descriptions of any longer term implications and actions required to prevent similar types of breaches recurring in the future
140	2023-24	22/5/23	Contributions	No paperwork or payment received for April contributions. Payment received 29.2.2024.	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.
141	2023-24	22/5/23	Contributions	April contributions of £5,373.96 received 2 days late. Payment not actioned by finance team.	No	Amber	No Report	There are no long term implications associated with this breach.
142	2023-24	March 2023, April 2023, May 2023	Automatic payment of refund after 5 years for post 2014 leavers	Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. March 2023 - 20 members & total refunds = £10211.91, April - 12 members & total refunds = £3294.38, May - 12 members & total refunds = £4924.85	No	Amber	No Report	The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014.
143	2023-24	June 2023, July 2023, August 2023	Automatic payment of refund after 5 years for post 2014 leavers	Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. June 2023 - 17 members & total refunds = £16888.08, July - 21 members & total refunds = £2344.57, August - 39 members & total refunds = £12963.03	No	Amber	No Report	The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014.
144	2023-24	Ongoing	Contributions	No paperwork or payments received for May-July contributions. Payments received 29.2.2024	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.
145	2023-24	September & October 2023	Automatic payment of refund after 5 years for post 2014 leavers	Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. September 2023 - 28 members & total refunds = £13112.89, October 2023 - 18 members & total refunds = £8670.27	No	Amber	No Report	The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014.
146	2023-24	22/09/2023	Contributions	August contributions of £10,529.94 received 18 days late. Payroll had completed this on time but there was an issue with the finance team who were late paying it.	No	Amber	No Report	There are no long term implications associated with this breach.
147	2023-24	Ongoing	Contributions	No paperwork or payments received for August-September contributions. Payments received 29.2.2024.	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.
148	2023-24	22/10/23	Contributions	September contributions of £2,758.46 received 1 day late. Finance officer off sick and IT issues prevented the payment being sent whilst working from home.	No	Amber	No Report	There are no long term implications associated with this breach.
149	2023-24	22/10/23	Contributions	September qtr contributions of £7,563.16 received 2 days late. Clerk sent remittance but forgot to action the payment.	No	Amber	No Report	There are no long term implications associated with this breach.
150	2023-24	22/10/23	Contributions	September qtr contributions of £385.11 received 5 days late. Clerk in hospital and delay with payroll.	No	Amber	No Report	There are no long term implications associated with this breach.

151	2023-24	Ongoing	Contributions	No paperwork or payments received for October contributions.	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.
152	2023-24	22/11/23	Contributions	October contributions of £1,550.34 received 14 days late. Finance Team confirmed a technical issue with the system caused the payment to be delayed.	No	Amber	No Report	There are no long term implications associated with this breach.
153	2023-24	22/11/23	Contributions	The cheque for the October contributions (£439.51) was sent in on time by the Employer, there was a delay in the cheque being received at the Cash Desk (15 days late). In the meantime, Employer sent in new cheque to cover October & November, original cheque has been destroyed.	No	Amber	No Report	There are no long term implications associated with this breach.
154	2023-24	Ongoing	Contributions	No paperwork or payments received for November contributions. Payment received 29.2.2024	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.
155	2023-24	Ongoing	Contributions	No paperwork or payments received for December contributions. Payment received 29.2.2024	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.
156	2023-24	November 23 to February 24	Automatic payment of refund after 5 years for post 2014 leavers	Members have been contacted requesting bank details in order to pay refunds, however, no reply has been received from the scheme members. November 2023 - 12 members & total refunds = £2556.20, December 2023 - 7 members & total refunds = £747.43, January 2024 - 19 members & total refunds = £6821.93, February 2024 - 16 members & total refunds = £3047.67	No	Amber	No Report	The National Technical Group has recommended to the SAB that the regulations in respect of refunds is amended and reflects the position prior to April 2014.
157	2023-24	22/2/24	Contributions	January contributions of £1,621.23 received 5 days late. Employer has confirmed that a request for payment has been actioned late.	No	Amber	No Report	There are no long term implications associated with this breach.
158	2023-24	Ongoing	Contributions	Paperwork or payments not received on time for January contributions. However, a payment was received on the 29.2.2024 for £14,549.09 which covers the period September 2022-February 2024.	No	Amber	Yes	Ongoing discussions taking place with the Administrators. The Pensions Regulator has been updated that the pension contributions are now up to date.