

INTERNAL AUDIT PLAN 2023/24 - as at 29 February 2024

2023/24 % Plan Completion to Date: 76%

Job No	Departments	Days Planned	Pre- Audit Meeting	Terms of Reference Issued	Commented	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Actual Days	Assurance Rating / Engagement Type
Fundamental Audits										
1123001	Budget Setting & Monitoring	15	*	*	*					
1123002	Council Tax	20	*	*	*	*				
1123003	Creditor Payments	30	*	*	*					
1123004	Deputyships	20	*	*	*	*	*	*	16	High
1123005	Main Accounting	20	*	*	*	*	*	*	20	High
1123006	Payroll System	30	*	*	*					
1123007	Petty Cash / Sundry Expenses	15	*	*	*	*	*			
1123008	Treasury Management - Loans & Investments	15	*	*	*	*	*	*	15	Acceptable
Corporate Review Work										
2123001	CCC Companies Governance	20	*							
2123002	Contract Management	20	*	*	*	*				
2123003	Procurement	20	*	*	*	*				
2123004	Safeguarding	20	*							
2123005	Staffing Matters	20	*	*	*					
Corporate Governance Assurance										
3123001	Corporate Governance Arrangements	20	*	*	*	*				
3123002	Data Protection	20	*	*	*	*	*	*	19	Acceptable
3123003	Declaration of Interests	20	*	*	*					
3123004	Corporate Strategy / Policy Making	20	*	*	*					
3123005	Wellbeing of Future Generations Act	20	*	*	*					
Duplication Checks										
4123001	Payments Duplication Checks	40	/	/	*					
Counter Fraud										
5123001	Fraud Prevention, Detection and Investigation	40	/	/	*					
5123002	Proactive Fraud Testing	20	/	/	*					
5123003	National Fraud Initiative	20	/	/	*	*	/	/	32	Fraud Detection
Grants & Certification										
6123001	Burry Port Harbour	10	*	*	*	*	*	*	6	Certification
6123002	Children & Communities Grant	25	*	/	*	*	*	*	14	Certification
6123003	Education Improvement Grant	30	*	/	*	*	*	*	23	Certification
6123004	Enable Grant	10	*	/	*	*	*	*	8	Certification
6123005	Housing Support Grant	40	*	/	*	*	*	*	28	Certification
6123006	Local Authority Education Grant	20	*	/	*	*	*	*	21	Certification
6123007	Other Grants (where assurance is required for sign-off)	15	*	/	*	*	*	*	20	Certification
6123008	Wales Pension Partnership	5	*	/	*	*	*	*	4	Certification
6123009	Post 16 Grant	10	*	/	*	*	*	*	9	Certification
6123010	Pupil Development Grant	30	*	/	*	*	*	*	23	Certification
6123011	Youth Justice Grant 2022-23	15	*	/	*	*	*	*	10	Certification

