

# PWYLLGOR LLYWODRAETHU AC ARCHWILIO

15 Rhagfyr 2023

## Cynnydd ar Argymhellion o fewn Adroddiadau Rheoleiddio

### GOFYNNIR I'R PWYLLGOR CRAFFU:-

Adolygu ac asesu'r wybodaeth sydd yn yr Adroddiad a darparu unrhyw argymhellion, sylwadau, neu gyngor i'r Aelod Cabinet a/neu'r Cyfarwyddwr.

### Y Rhesymau:

O dan Ddeddf Llywodraeth Leol (Cymru) 2011, dylai'r pwyllgor archwilio dderbyn adroddiadau gan archwilwyr allanol a dilyn lan ar yr argymhellion. Paragraffau 9.16 i 9.19.

### YR AELOD O'R CABINET SY'N GYFRIFOL AM Y PORTFFOLIO:-

Cyng. Philip Hughes, Aelod Cabinet dros Trefniadaeth a'r Gweithlu

Jason Jones	Pennaeth Adfywio, Polisi a Digidol	<a href="mailto:JaJones@sirgar.gov.uk">JaJones@sirgar.gov.uk</a>
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Chris Harrison	Pennaeth Comisiynu Strategol ar y Cyd (Sir Benfro a Sir Gaerfyrddin)	<a href="mailto:Chris.harrison@pembrokeshire.gov.uk">Chris.harrison@pembrokeshire.gov.uk</a>
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Paul R Thomas	Prif Weithredwr Cynorthwyol (Rheoli Pobl)	<a href="mailto:prthomas@sirgar.gov.uk">prthomas@sirgar.gov.uk</a>
Rhodri Griffiths	Pennaeth Lle a Chynaliadwyedd	<a href="mailto:RDGriffiths@sirgar.gov.uk">RDGriffiths@sirgar.gov.uk</a>
<b>Awdur yr Adroddiad:</b>		
Rob James	Rheolwr Perfformiad Strategol	<a href="mailto:RNJames@sirgar.gov.uk">RNJames@sirgar.gov.uk</a>

# GOVERNANCE & AUDIT COMMITTEE

15 DECEMBER 2023

## Progress on Regulatory Report Recommendations

### SUMMARY OF PURPOSE OF REPORT.

1. The Council monitors regulatory report recommendations on its Performance Information Monitoring System (PIMS).
2. Contained within the report are:
  - on-going recommendations from past reports that are still active.
  - recommendations that have been completed and closed during the last year.
3. There are two main types of report:
  - National reports. Any recommendations relevant to Carmarthenshire and a Council response prepared will be monitored for progress on PIMS.
  - Local reports specific to Carmarthenshire County Council.
4. Some regulatory report recommendations have been summarised for the purposes of this report. The original full recommendations can be viewed in the original reports which have previously been reported to Governance & Audit Committee or available on the Audit Wales website.

DETAILED REPORT ATTACHED?

YES

# IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: Jason Jones, Head of Regeneration, Policy and Digital

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>YES</b>	<b>YES</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>

## 1. Policy, Crime & Disorder and Equalities

Item 6 of the terms of reference of the Governance and Audit Committee requires oversight of the Authority's external audit arrangements, including:

- monitoring the adequacy and effectiveness of the External Audit service and to respond to its findings;
- discuss with the external auditor the nature and scope of the audit of the County Council's services & functions, and consider the external audit fee and terms of engagement;
- receiving and considering external audit reports and management letters and advising Full Council as appropriate;
- monitoring Management's response to the external auditor's findings and the implementation of the external auditor's recommendations (*the purpose of this report*)

## 2. Legal

The Local Government Act (Wales) 2011 – Statutory Guidance identifies that Audit Committee should:

- receive the reports from external auditors and follow up their recommendations for the year.

<b>CABINET MEMBER PORTFOLIO HOLDER(S) AWARE/CONSULTED</b>	YES	
<b>Section 100D Local Government Act, 1972 – Access to Information</b>		
<b>List of Background Papers used in the preparation of this report:</b>		
Title of Document	File Ref No.	Locations that the papers are available for public inspection
Audit Committee Effectiveness - Carmarthenshire County Council - July 2018.		<a href="#">Link</a>
Statutory Guidance from the Local Government Measure 2011 Chapter 9		<a href="#">Link</a>