

**Pwyllgor Llywodraethu ac Archwilio**  
**11 Mawrth 2022**

<b>Argymhellion Archwiliad Mewnol</b>		
<b>Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:</b> I dderbyn yr adroddiad.		
<b>Y Rhesymau:</b> Cyflwynir adroddiad cynnydd yn rheolaidd i'r Pwyllgor Archwilio yn flynyddol.		
<b>Ymgynghorwyd â'r pwyllgor craffu perthnasol: NADDO</b>		
<b>Angen i'r Cabinet wneud penderfyniad: NAC OES</b> <b>Angen i'r Cyngor wneud penderfyniad: NAC OES</b>		
<b>YR AELOD O'R CABINET SY'N GYFRIFOL AM Y PORTFFOLIO:</b> Cyng. David Jenkins		
<b>Y Gyfarwyddiaeth:</b> Gwasanathau Corfforaethol		
<b>Enw Pennaeth y Gwasanaeth:</b> Helen Pugh	<b>Swyddi:</b> Pennaeth Refeniw a Chydymffurfio Ariannol	<b>Rhif ffôn:</b> 01267 246223
<b>Awdur yr Adroddiad:</b> Caroline Powell	Prif Archwilydd	<b>Cyfeiriad e-bost:</b> <a href="mailto:HLPugh@sirgar.gov.uk">HLPugh@sirgar.gov.uk</a>  <a href="mailto:CaPowell@sirgar.gov.uk">CaPowell@sirgar.gov.uk</a>

# Governance & Audit Committee

## 11 March 2022

### Internal Audit Recommendations

#### 1. BRIEF SUMMARY OF PURPOSE OF REPORT.

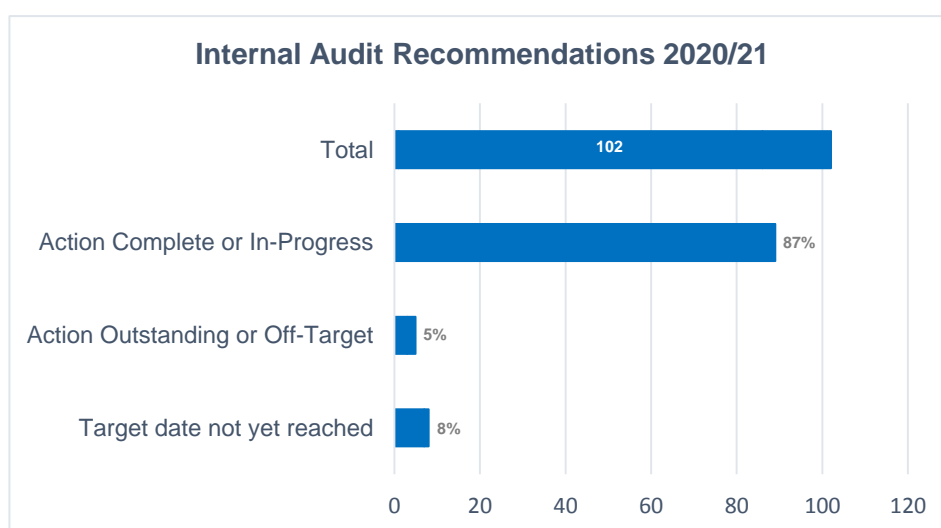
The Internal Audit division maintains a log of all Internal Audit Reports issued. The recommendations made as part of these Reports are uploaded onto the Council's Performance Information Monitoring System (PIMS).

The responsible officer for each recommendation is required to update PIMS with the actions taken to address the identified issues.

This information below details the progress status of Internal Audit recommendations relating to the 2020/21 financial year.

#### Update on 2020/21 Internal Audit Recommendations

Recommendation Ratings	Total Recommendations Made	Action Complete or In-Progress	Action Outstanding or Off-Target	Target date not yet reached	% Complete or In-Progress per Recommendation Rating
3*	1	1	0	0	100%
2*	85	75	3	7	88%
1*	16	13	2	1	81%
	<b>102</b>	<b>89</b>	<b>5</b>	<b>8</b>	
		<b>87%</b>	<b>5%</b>	<b>8%</b>	



**DETAILED REPORT ATTACHED?**

**NO**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report:

Signed: H L Pugh – Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>NONE</b>	<b>NONE</b>	<b>YES</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>

### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: H L Pugh – Head of Revenues and Financial Compliance

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community / Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report:

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Internal Audit Plan 2021/22	AC 26-03-21	<a href="#">Agenda for Governance &amp; Audit Committee on Friday, 26th March, 2021, 2.00 pm</a>