

# Y Bwrdd Gweithredol MAWRTH 21AIN 2016

## Adroddiad Asesu Corfforaethol 2015 – Cynllun Gweithredu ar y Cynigion Ar Gyfer Gwella 2016/17

### Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

1. Cymeradwyo y Cynllun Gweithredu a gynhyrchwyd i roi sylw i'r Cynigion Ar Gyfer Gwella a gwnaethpwyd o few yr Adroddiad Asesu Corfforaethol 2015.
2. Cymeradwyo fod y Cynllun Gweithredu yn cael ei fonitro trwy PIMS yn chwarterol gan y Bwrdd Gweithredol hefo'r dangosfwrdd monitro perfformiad ar-lein.

### Y Rhesymau:

Yn 2013-14 dechreuodd Swyddfa Archwilio Cymru gylch pedair blynedd o Asesiadau Corfforaethol or holl Awdurdodau yng Nghymru o dan Ddeddf Llywodraeth Leol yng Nghymru (2009). Ym mis Hydref 2015 fe gwnaed yr Asesiad Corfforaethol o Gyngor Sir Caerfyrddin.

Ymgynghorwyd â'r pwyllgor craffu perthnasol - AMHERTHNASOL

Angen i'r Bwrdd Gweithredol wneud penderfyniad - Oes  
Angen i'r Cyngor wneud penderfyniad – Na

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:- Cyng. Pam Palmer

**Y Gyfarwyddiaeth:**  
Adran Prif Weithredwr

**Enw Pennaeth y Gwasanaeth:**  
Wendy S Walters

**Awdur yr Adroddiad:**  
Noelwyn Daniel

**Swyddi:**

Prif Weithredwr Cynorthwyol  
/Adfywio a Pholisi

Rheolwr Perfformiad a  
Gwybodaeth

**Rhifau ffôn/ Cyfeiriadau E-bost**

01267 224112  
[wswalters@carmarthenshire.gov.uk](mailto:wswalters@carmarthenshire.gov.uk)

01267 224476  
[NDaniel@sirgar.gov.uk](mailto:NDaniel@sirgar.gov.uk)

# Executive Summary

## Executive Board

### MARCH 21<sup>st</sup> 2016

**Corporate Assessment Report 2015 - Proposals For Improvement Action Plan 2016/17**

#### **Recommendations / key decisions required:**

1. Approve the action plan produced to address the Proposals for Improvement made within the Corporate Assessment Report 2015.
2. Approve that the action plan is monitored via PIMS to Executive Board half yearly alongside the online performance monitoring dashboard.

#### **Reasons:**

In 2013-14 the Welsh Audit Office began a four year cycle of Corporate Assessments of all Authorities in Wales under the Welsh Local Government Act (2009). In October 2015 they carried out their Corporate Assessment of Carmarthenshire County Council.

Relevant scrutiny committee to be consulted - NA

Exec Board Decision Required	Yes
Council Decision	No

EXECUTIVE BOARD MEMBER PORTFOLIO HOLDER:- Cllr Pam Palmer

<p><b>Directorate</b> Chief Executive</p> <p><b>Name of Head of Service:</b> Wendy S Walters</p> <p><b>Report Author:</b> Noelwyn Daniel</p>	<p><b>Designations:</b></p> <p>Assistant Chief Executive Regeneration &amp; Policy</p> <p>Performance and Information Manager</p>	<p><b>Tel Nos./ E Mail Addresses:</b></p> <p>01267 224112 <a href="mailto:wswalters@carmarthenshire.gov.uk">wswalters@carmarthenshire.gov.uk</a></p> <p>01267 224476 <a href="mailto:NDaniel@carmarthenshire.gov.uk">NDaniel@carmarthenshire.gov.uk</a></p>
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**EXECUTIVE SUMMARY**  
**EXECUTIVE BOARD**  
**MARCH 21<sup>ST</sup> 2016**

**SUBJECT**

**Corporate Assessment Report 2015 -  
Proposals For Improvement Action Plan 2016/17**

**BRIEF SUMMARY OF PURPOSE OF REPORT**

Welsh Audit Office undertook the Corporate Assessment fieldwork in Carmarthenshire County Council during October 2015. The purpose of the Corporate Assessment was to provide a position statement of an authority's capacity and capability to deliver continuous improvement.

The Authority has been highly praised by the Welsh Audit Office for having a well established vision that is driven forward by a strong collective leadership from both Executive and Corporate Management Teams. A clear framework of well-aligned plans and strategies that translate high level outcomes the Council has agreed with partners into priorities for action has also been recognised ensuring a strong ethos of continuous improvement runs through everything the Council does.

The Auditor General has made six Proposals for Improvement within the Corporate Assessment Report 2015 and will follow up what happens. The Proposals for Improvement were made in the following areas :

- P1 : Governance
- P2 : Use of Resources – Finance
- P3 : Use of Resources – People
- P4 : Use of Resources – Assets
- P5 : Use of Resources – ICT and Information Management
- P6 : Improvement Planning

An action plan has been produced to address these proposals for improvement which will be incorporated into the Improvement Plan for 2016/17.

**DETAILED REPORT ATTACHED ?**

**YES – Action Plan attached**

## IMPLICATIONS

**I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :**

**Signed: Wendy S Walters - Assistant Chief Executive Regeneration & Policy**

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>	<b>YES</b>

### 1. Policy, Crime & Disorder and Equalities

The Corporate Assessment assesses our governance arrangements throughout the organisation. We will need to address the Proposals for Improvement made within the final published report.

In addition to the Proposals for Improvement, the paragraphs of the report do contain some other actions that may need to be addressed or formally discounted. These actions will be extracted and addressed within Divisional Business Plans by Heads of Service.

### 2. Legal

The Corporate Assessment assesses our governance arrangements throughout the organisation. We will need to address the Proposals for Improvement made within the final published report.

### 3. Finance

The Corporate Assessment assesses our governance arrangements throughout the organisation. We will need to address the Proposals for Improvement made within the final published report.

### 5. Risk Management Issues

The Corporate Assessment assesses our governance arrangements throughout the organisation. We will need to address the Proposals for Improvement made within the final published report.

### 6. Staffing Implications

The Corporate Assessment assesses our governance arrangements throughout the organisation. We will need to address the Proposals for Improvement made within the final published report.

### 7. Physical Assets

The Corporate Assessment assesses our governance arrangements throughout the organisation. We will need to address the Proposals for Improvement made within the final published report.

## CONSULTATIONS

**I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below**

**Signed: Wendy S Walters - Assistant Chief Executive /Head of Regeneration & Policy**

**1. Scrutiny Committee – N/A**

**2. Local Member(s) – N/A**

**3. Community / Town Council – N/A**

**4. Relevant Partners – N/A**

**5. Staff Side Representatives and other Organisations – N/A**

**Section 100D Local Government Act, 1972 – Access to Information List of Background Papers used in the preparation of this report: THESE ARE DETAILED BELOW :-**

Title of Document	FileRefNo.	Locations that the papers are available for public inspection
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