

FORWARD WORK PROGRAMME - Audit Committee**Audit Committee 2017/18**

Subject area and brief description of nature of report	Lead Department	Responsible Officer			Sep-18	Dec-18
			Mar-18	Jul-18		
Appointment of Audit Committee: <ul style="list-style-type: none"> Chair Vice Chair 	Corporate Services	Audit Committee		✓		
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance		✓		
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
Internal Audit Plan Update <ul style="list-style-type: none"> To receive the progress report To receive the Scoring Matrix for finalised reviews 	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
		Head of Revenues and Financial Compliance				
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance	✓			
Assurance Reviews: <ul style="list-style-type: none"> Fundamental financial systems 3* reports 	Corporate Services		As required			
		Head of Revenues and Financial Compliance				
		Head of Revenues and Financial Compliance				
Progress reports as requested by Audit Committee <ul style="list-style-type: none"> Supporting People Museums Coastal facilities 	Communities					
		Safeguarding & Commissioning Manager		✓		
		Head of Leisure		✓		
		Head of Leisure	✓			
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance		✓		
Approval of Contract & Quotation Rules	Corporate Services	Head of Revenues and Financial Compliance				
Approval of Audit Strategy	Corporate Services	Head of Revenues and Financial Compliance				

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Approval of Financial Procedure Rules	Corporate Services	Head of Revenues and Financial Compliance				
Approval of Risk and Business Continuity Strategy	Corporate Services	Head of Revenues and Financial Compliance	✓			
Approval of Anti-Fraud and Corruption Strategy	Corporate Services	Head of Revenues and Financial Compliance	✓			
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance	✓			
Statement of Accounts including Annual Governance Statement for Carmarthenshire CC & Dyfed Pension Fund <ul style="list-style-type: none"> To be received To be approved 	Corporate Services	Head of Financial Services		✓	✓	
Burry Port Harbour Accounting Statement 2015-16 <ul style="list-style-type: none"> To be received To be approved 	Corporate Services	Head of Financial Services		✓	✓	
Audit enquiries to those charged with governance and management for: <ul style="list-style-type: none"> Carmarthenshire CC Dyfed Pension Fund 	Corporate Services	Head of Financial Services			✓	
Single Tender Action	Corporate Services	Director of Corporate Services	As required			
Minutes for noting: <ul style="list-style-type: none"> Grants Panel Corporate Governance Group Risk Management Steering Group 	Corporate Services	Head of Revenues and Financial Compliance				
		Head of Financial Services	✓	✓	✓	✓

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Wales Audit Office:	Corporate Services					
<ul style="list-style-type: none"> • Audit Plan Update 			✓	✓	✓	✓
<ul style="list-style-type: none"> • Annual Improvement Report 				✓		
<ul style="list-style-type: none"> • Financial Statements – ISA260 Report presented to those charged with Governance)in relation to the Statement of Accounts for <ul style="list-style-type: none"> ○ Carmarthenshire CC ○ Dyfed Pension Fund 					✓	
<ul style="list-style-type: none"> • Letter of Representation <ul style="list-style-type: none"> ○ Carmarthenshire CC ○ Dyfed Pension Fund 					✓	
<ul style="list-style-type: none"> • Annual Audit Letter: <ul style="list-style-type: none"> ○ Carmarthenshire CC ○ Dyfed Pension Fund 						✓
<ul style="list-style-type: none"> • Certification of Grants and Returns <ul style="list-style-type: none"> ○ 2016-17 		Wales Audit Office	✓			
<ul style="list-style-type: none"> • Thematic Study: Well-being of Future Generations - Baseline assessment 			✓			
<ul style="list-style-type: none"> • Thematic Study: Well-being of Future Generations -Scrutiny Review 			✓			
<ul style="list-style-type: none"> • Thematic Study: Service User Review 			✓			
<ul style="list-style-type: none"> • LG Improvement Study - Intermediate Care Fund 						
<ul style="list-style-type: none"> • LG Improvement Study - Using Data Effectively 					✓	
<ul style="list-style-type: none"> • LG Improvement Study - How well do public bodies provide services to rural communities 					✓	
<ul style="list-style-type: none"> • Local Project work 			✓			
<ul style="list-style-type: none"> • Auditor General's fees <ul style="list-style-type: none"> ○ Financial Audits: <ul style="list-style-type: none"> ▪ Carmarthenshire CC ▪ Dyfed Pension Fund ○ Performance Audit 			✓			