

Carmarthenshire County Council Audit Committee Update – March 2016

Financial audit work 2015-16 – Dyfed Pension Fund

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2015-16.	Audit Committee March 2016.
Financial Statements/Annual Audit Letter	Audit of the Pension Fund's 2015-16 financial statements and Annual Audit Letter.	Audit Committee September 2016.

Financial audit work 2015-16 – Carmarthenshire County Council

Activity	Scope	Status
Certification of Grants and Returns 2014-15	Summary of grants and returns certification work 2014-15.	Audit Committee July 2016.
Audit Plan 2016	Plan of financial audit work for 2015-16.	Audit Committee March 2016.
Financial Statements 2015-16	Audit of the Council's 2015-16 financial statements.	Audit Committee September 2016.
Annual Audit Letter	Report summarising our 2015-16 financial audit work.	Audit Committee December 2016
Certification of Grants and Returns 2015-16	Summary of grants and returns certification work 2015-16.	Audit Committee March 2017

Performance work 2015-2016 - Carmarthenshire County Council

Activity	Scope	Status
Audit Plan	Plan of performance audit work for 2015-16.	Audit Committee March 2016
Corporate Assessment	Review of the Council's capacity and capability to deliver continuous improvement.	Report Issued January 2016
Improvement Plan Audit – 2015-2016	Audit of the discharge of the Council's duty to publish an improvement plan.	July 2015 – complete certificate issued
Assessment of performance	Audit of the discharge of the Council's duty to publish an assessment of performance.	July 2015 – complete certificate issued
Performance Review	Assessment of performance in relation to two of the Council's Key Improvement Priority Areas.	Fieldwork complete – informs Annual Improvement Report (issued in draft for factual accuracy check)
Financial Management and Financial Position Summary - 2	Further work on our financial management arrangements following up our 2014-2015 review, and looking forward to 2016-2017 budget setting and savings proposals. There will also be a focus on reserves position.	Issued – January 2016
Governance and Performance Reviews – all inform the Corporate Assessment Report.	Human Resources Review. Information technology Review. Information Management Review. Asset Management Review. Partnership Review.	Complete – Informs Corporate Assessment
Local Project	Review of the application of Equalities impact Assessment in relation to Council	January-February 2016 – moved to March 2016.

	improvement priorities	
LG Improvement Study 1 – Council funding of third-sector services	Project brief issued	Fieldwork underway
LG Improvement Study 2 - The strategic approach of councils to income generation and charging for services	Project brief issued	Fieldwork complete
National Study – The effectiveness of local community safety partnerships	Project Brief Issued	Fieldwork complete report being drafted