

# PWYLLGOR ARCHWYLIO

22 Mawrth 2016

<b>Y Pwnc:</b> Cynllun Tair Blynedd Archwilio Mewnol		
<b>Y Pwrpas:</b> Cynllun Archwiliad Mewnol Blyneddol 2016/17 a'r Cynllun a fwriedir am 2017/19.		
<b>Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:</b>		
I gymeradwyo'r Cynllun Archwiliad Mewnol Blyneddol ar gyfer 2016/17 ac i gadarnhau'r hyn y bwriedir ei gynnwys yn 2017/19.		
<b>Y Rhesymau:</b>		
Mae'n hanfodol bod archwiliadau yn cael eu cynllunio mewn modd strwythuredig ar sail risg. Mae rhaglen dreigl dros dair blynedd yn sicrhau sylw digonol o ran archwiliad ac yn darparu'r hyblygwrydd i ddelio â newidiadau mewn systemau yn yr Awdurdod		
<b>Ymgynghorwyd â'r pwyllgor craffu perthnasol : AMHERTHNASOL</b>		
<b>Angen i'r Bwrdd Gweithredol wneud penderfyniad :</b> AMHERTHNASOL		
<b>Angen i'r Cyngor wneud penderfyniad :</b> AMHERTHNASOL		
<b>YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-</b> Cynghorydd David Jenkins		
<b>Y Gyfarwyddiaeth:</b> Gwasanathau Corfforaethol	<b>Swyddi:</b> Pennaeth Archwilio, Risg a Chaffael	<b>Rhif ffôn:</b> 01267 246217
<b>Enw Pennaeth y Gwasanaeth:</b> Phil Sexton		<b>Cyfeiriad E-bost:</b> <a href="mailto:PSexton@sirgar.gov.uk">PSexton@sirgar.gov.uk</a>
<b>Awdur yr Adroddiad:</b> Helen Pugh	Rhelowr Archwilio a Risg	<b>Rhif ffôn:</b> 01267 246223
		<b>Cyfeiriad E-bost:</b> <a href="mailto:HLPugh@sirgar.gov.uk">HLPugh@sirgar.gov.uk</a>

**EXECUTIVE SUMMARY**  
**Audit Committee**  
**22<sup>nd</sup> March 2016**

**SUBJECT**

**INTERNAL AUDIT PLAN**

The Audit Plan was compiled using risk assessment principles and taking into account changes in services. The adoption of a three year rolling programme provides assurance of the adequacy of audit coverage and allows the flexibility to deal with changes to systems within the Authority. The Plan assumes full staffing within the Section of 9.4 FTE staff.

The following reports are attached for approval

**REPORT A Internal Audit Plan 2016/17 – Summary of Resources Available**

**REPORT B Internal Audit Plan 2016/19 – Detailed Listing of Planned Reviews**

**DETAILED REPORT  
ATTACHED?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Phil Sexton Head of Audit, Risk & Procurement

Policy and Crime & Disorder	Legal	Finance	ICT	Risk Management Issues	Organisational Development	Physical Assets
<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>	<b>NONE</b>

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Phil Sexton Head of Audit, Risk & Procurement

1. Local Member(s) N/A
2. Community / Town Council N/A
3. Relevant Partners N/A
4. Staff Side Representatives and other Organisations N/A

Section 100D Local Government Act, 1972 – Access to Information  
List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2016-18	AC 28-03-14	Internal Audit Unit