INTERNAL AUD	IT PLAN 2020/21									
2020/21	% Plan Completion to Date	36.3	%							
Job No	Departments	Days Planned	Pre. Audit Meeting	Terms of Reference Issued	Commenced	Field Work Complete	Draft Report Issued	Complete / Final Report Issued	Status	Assurance Rating / Engagement Type
	Fundamentals									
1120002	Council Tax	15								
1120003	Creditors	40	*						Pre Audit Meeting	
1120004	Income	20	*						Pre Audit Meeting	
1120005	Main Accounting	20	*	*	*				Commenced	
1120006	National Fraud Initiative (NFI) - Mortality Screening	10								
5120007	Payroll	40	*							
1120008	Treasury Management - Loans & Investments (CCC and DPF)	15	*	*	*				Commenced	-
	Total Audit Days for Fundamentals	160								
	Corporate Governance Assurance									
2120001	Annual Governance Statement/Corp Gov Arrangements	20								
2120002	Performance Management	20								
2120003	Risk Management Arrangements	15								
2120004	Wellbeing of Future Generations Act	20								
2120005	Whistleblowing	15								
	Total Audit Days for Corporate Governance Assurance	90				1				
	COVID-19									
3120001	COVID-19 Decision-Making Process	20	*	*					Terms of Reference Issued	
3120002	COVID-19 Expenditure	20	*						Pre Audit Meeting	
5420002	COVID-19 Systems and Processes (advice and support)	50	N/A	N/A	*	*	,	*	Complete	Consultation
3120003	COVID-19 Systems and Processes (Evaluation and recs)	40	*	*	*				Commenced	
5420001	COVID-19: Free School Meals Payment Process	20	N/A	N/A	*	*	,	*	Complete	Consultation
	·									
	Total Audit Days for COVID-19	150				1				
	Corporate Review Work									
4120005	Local Authority Trading Companies	20								
4120006	Procurement	30	*	*	*				Commenced	
4120007	Safeguarding	20							Commenced	
4120008	Staffing Matters (e.g. DBS   Overtime   Flexi   Leave)	20	*							
	Total Audit Days for Corporate Review Work	90			I					
	. ,	1								
	Counter Fraud									
1120007	National Fraud Initiative (NNDR) - review of COVID-19 Grants	20	*	N/A	*				Commenced	
1120009	Proactive Fraud Testing	20	*	N/A	*				Commenced	
1120010	Fraud Prevention, Detection and Investigation	30	N/A	N/A	*				Commenced	
			<u> </u>	<u> </u>						
	Total Audit Days for Counter Fraud Work	70				-				
	Grants & Certification									
5120005	Burry Port Harbour	10	*	N/A	*	*	*	*	Complete	Accounts Return
5220001	Children & Communities Grant	25	*	*	*	*	*	*	Complete	Grant Certification
4120003	Education Improvement Grant - EOY	10	*	*	*	*	*	*	Complete	Grant Certificate
5220003	Education Improvement Grant - Q3	10							25p.ecc	J. Int Continuate
5220004	Education Improvement Grant - Q4	10								
6120005	Enable Grant	10	*	*	*	*	*	*	Complete	Grant Certificate
L 5120003	Lindble Grunt	10		<u> </u>			<u> </u>		Complete	Grant Certificate

	T									
6120004	HSG - Homelessness	12	*	*	*	*	*	*	Complete	Grant Certificate
6120004	HSG - Rent Smart Wales	10	*	*	*	*	*	*	Complete	Grant Certificate
6120004	HSG - Supporting People	13	*	*	*	*	*	*	Complete	Grant Certificate
5220005	Local Authority Education Grant	20	*	*	*	*	*	*	Complete	Grant Certificate
5220006	Other Grants (where assurance is required for sign-off)	20								
5220007	Post 16 Grant	10								
4120004	Pupil Deprivation Grant - EOY	10	*	*	*	*	*	*	Complete	Grant Certificate
5220008	Pupil Deprivation Grant - Q3	10								
5220009	Pupil Deprivation Grant - Q4	10								
5120002	Trust Funds - Arbour Stephens	5	*	*	*	*	*	*	Complete	Certification
5120004	Trust Funds - Dyfed Welsh Church Fund	5	*	*	*	*	*	*	Complete	Certification
5120003	Trust Funds - Minnie Morgan	5	*	*	*	*	*	*	Complete	Certification
5120001	Trust Funds - Oriel Myrddin	5	*	*	*				Commenced	
5220002	Wales Pension Partnership	5	*	N/A	*	*	*	*	Complete	Accounts Return
5220010	Regional Development Co-ordinator Grant	5	*	*	*	*	*	*	Complete	Grant Certificate
	Total Audit Days for Grants & Certification	220								
	Total Addit Days for Grants & Certification	220								
	Chief Evecutive's Department (not incl IT)									
9130001	Chief Executive's Department (not incl IT)	10								
8120001	Property Management (Follow Up)	10	*	*	*	*	*		Dooft Doors 11	
1120001	Departmental Expenditure (CEX)	10							Draft Report Issued	
8120002	Pentre Awel	10								
8120003	Net Zero Carbon	10								
8120004	Regeneration Strand	10								
	Total Audit Days for Chief Executive's Department (not incl IT)	50								
	Chief Executive's Department: IT									
4120001	Covid-19 CAATS Analysis (FSM)	10	*	*	*	*	*	*	Complete	Consultation
8220001	Office 365, including TEAMS and Sharepoint	15	*	*					Terms of Reference Issued	
8220002	Bring Your Own Device	10								
8220003	Cyber Security	15								
	Total Audit Days for Chief Executive's Department: IT	50								
	Communities									
6120001	Departmental Expenditure: Leisure	15	*	*	*	*	*		Draft Report Issued	
6120002	Departmental Expenditure: Housing	10	*	*	*	*	*		Draft Report Issued	
6120003	Departmental Expenditure: Social Care	10	*	*	*	*	*		Draft Report Issued	
8320001	Sport Wales/Active Communities	10								
8320002	Ski Centre Follow Up	5	*	*	*	*	*	*	Complete	High
8320003	Financial Assessments & Collections	10	*	*	*				Commenced	
	Total Audit Days for Communities	60								
	Corporate Services									
5120006	Departmental Expenditure (Corp Serv)	10	*	*	*	*	*		Draft Report Issued	
8420001	Financial Management Other	10	*	*	*	*	*	*	Complete	Consultation
	Total Audit Days for Corporate Services	20								
	Education & Children									
4120002	Departmental Expenditure (Education)	10	*	*	*	*	*		Draft Report Issued	
8520001	Fostering	10							,	
8520002	School Meals/ParentPay	10								
8520003	Schools (incl School Questionnaires)	40	*	*	*				Commenced	
8520004	Specialist Provision Units	10	*	*	*				Commenced	
2320004		10							commenceu	

	Total Audit Days for Education & Children	80							
	Environment								
8620001	Ash Dieback	10							
7120001	Departmental Expenditure (Environment)	20	*	*	*	*		Field Work Complete	
8620002	Flood Defence & Coastal Protection	10	*	*	*			Commenced	
8620003	Property Maintenance	10	*	*				Terms of Reference Issued	
8620004	Waste Management & Recycling Obligations	10							
	Total Audit Days for Environment	60							
	Total Approved Plan Days	1100	% Co	omplete t	o Date	36.3%			