**PWYLLGOR ARCHWILIO**

20 Mawrth 2020

| |
|---|
| **Pwnc a Phwrpas:**<br>Cynllun Archwiliad Mewnol Blynyddol 2020/21 & Bwriedir ei gynnwys yn 2021-23. |
| **Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:**<br>I gymeradwyo'r Cynllun Archwiliad Mewnol Blynyddol ar gyfer 2020/21 ac i gadarnhau'r hyn y bwriedir ei gynnwys yn 2021-23. |
| **Y Rhesymau:**<br>Mae'n hanfodol bod archwiliadau yn cael eu cynllunio mewn modd strwythuredig ar sail risg. Mae rhaglen dreigl dros dair blynedd yn sicrhau sylw digonol o ran archwiliad ac yn darparu'r hyblygrwydd i ddelio â newidiadau mewn systemau yn yr Awdurdod |
| **Ymgynghorwyd â'r pwyllgor craffu perthnasol :**<br>AMHERTHNASOL |
| **Angen i'r Bwrdd Gweithredol wneud penderfyniad:** AMHERTHNASOL<br>**Angen i'r Cyngor wneud penderfyniad:** AMHERTHNASOL |
| **YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:**<br>Cynghorydd David Jenkins |

| | | |
|---|---|---|
| **Y Gyfarwyddiaeth:**<br>Gwasanathau Corfforaethol<br><br>**Enw Pennaeth y Gwasanaeth:**<br>Helen Pugh<br><br>**Awdur yr Adroddiad:**<br>Helen Pugh | **Swyddi:**<br>Pennaeth Refeniw a Chydymffurfio Ariannol | **Rhif ffôn:** 01267 246223<br>**Cyfeiriad e-bost:**<br>HLPugh@sirgar.gov.uk |

Cyngor Sir Gâr
Carmarthenshire County Council

**EXECUTIVE SUMMARY**
**Audit Committee**
20th March 2020

| **SUBJECT**<br>**Internal Audit Plan 2020/21 & Planned Coverage for 2021-23** | |
|---|---|
| The Audit Plan was compiled using risk assessment principles and taking into account changes in services. The adoption of a three year rolling programme provides assurance of the adequacy of audit coverage and allows the flexibility to deal with changes to systems within the Authority.<br>The Plan assumes full staffing within the section.<br>The following report is attached for approval:<br>Internal Audit Plan 2020-23 – Detailed Listing of Planned Reviews | |
| **DETAILED REPORT ATTACHED?** | **YES** |

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County Council

## IMPLICATIONS

**I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :**

**Signed: Helen Pugh Head of Revenues and Financial Compliance**

| Policy, Crime & Disorder and Equalities | Legal | Finance | ICT | Risk Management Issues | Staffing Implications | Physical Assets |
|---|---|---|---|---|---|---|
| NONE | NONE | **YES** | NONE | NONE | NONE | NONE |

**Finance**

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

**I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below**

**Signed: Helen Pugh Head of Revenues and Financial Compliance**

1. **Scrutiny Committee:** Not Applicable
2. **Local Member(s):** Not Applicable
3. **Community/Town Council:** Not Applicable
4. **Relevant Partners:** Not Applicable
5. **Staff Side Representatives and other Organisations:** Not Applicable

**Section 100D Local Government Act, 1972 – Access to Information**
**List of Background Papers used in the preparation of this report:**

| Title of Document | File Ref No. | Locations that the papers are available for public inspection |
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