

PWYLLGOR ARCHWYLIO
24^{FED} IONAWR 2020

COFRESTR RISG CORFFORAETHOL
CYNGOR SIR CAERFYRDDIN

Yr argymhellion / penderfyniadau allweddol sydd eu hangen:

- I dderbyn y Cofrestr Risg Corfforaethol

Rhesymau:

I sicrhau fod y Pwyllgor Archwilio wedi eu hysbysu o'r Risgiau Corfforaethol.

Angen ymgynghori â'r pwyllgor craffu perthnasol: Pwyllgor Archwilio (24 Ionawr 2020)

Angen i'r Bwrdd Gweithredol wneud penderfyniad: Nac Oes

Angen i'r Cyngor wneud penderfyniad: Nac Oes

Aelod y Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:

Cyng. David Jenkins (Adnoddau)

Y Gyfarwyddiaeth: Gwasanaethau Corfforaethol	Swyddi:	Rhifau Ffôn / Cyfeiriadau E-bost:
Enw'r Cyfarwyddwr: Chris Moore	Cyfarwyddwr Gwasanaethau Corfforaethol	01267 224120 cmoore@sirgar.gov.uk
Awduron yr adroddiad: Helen Pugh	Pennaeth Refeniw a Chydymffurfiaeth Ariannol	01267 246223 hlpugh@sirgar.gov.uk

**EXECUTIVE SUMMARY
AUDIT COMMITTEE
24TH JANUARY 2020**

**Carmarthenshire County Council's
Corporate Risk Register**

The Authority maintains a Corporate Risk Register to evaluate its exposure to key strategic risks. The Corporate Assessment recommended that the Corporate Risk Register as agreed by CMT should be shared with the Audit Committee. Review and monitoring of the Corporate Risk Register is delegated to Audit Committee in line with the Terms of Reference of the Audit Committee. The Register will be reviewed by the Audit Committee at its January 2020 meeting.

The following changes are to be noted:

Removal of the following risks from the Corporate Risk Register,

- i) **CRR190003 – Responding to New Legislation / Policy from Welsh Government**
- ii) **CRR190008 – Maintaining high standards of governance in relation to Information management**
- iii) **CRR190024 - Change in leadership due to the current CE retiring – action completed new Chief Executive appointed**

Amend the following

- i) **CRR190010 - Deliver Effective Safeguarding Arrangements - Vulnerable Adults to Corporate Oversight of Safeguarding**

CMT considered and approved the addition of the following risks to the Corporate Risk Register:

- i) Schools Building condition surveys
- ii) Ash die back.
- iii) Fraud and Corruption
- iv) School Leadership

DETAILED REPORT ATTACHED?	YES
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IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed:	Chris Moore – Director of Corporate Services
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Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
None	None	None	NONE	Yes	NONE	NONE

5. Risk Aims of the Corporate Risk Register to collate strategic risks facing the Authority.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: Chris Moore – Director of Corporate Services

- 1. Scrutiny Committee** – Audit Committee will be required to receive the Corporate Risk Register at its meeting scheduled for Friday 13th December 2019
- 2. Local Member(s)** – N/A
- 3. Community / Town Council** – N/A
- 4. Relevant Partners** – N/A
- 5. Staff Side Representatives and other Organisations** – N/A

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:

THERE ARE NONE