

# PWYLLGOR ARCHWYLIO

18 Rhagfyr 2015

**Y Pwnc:** Cynllun Archwilio Mewnol

**Y Pwrpas:** Y diweddaraf ynghylch ar Cynllun Archwilio Mewnol 2015/16

**Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:**

**I dderbyn yr adroddiad**

**Y Rhesymau:**

Cyflwynir adroddiad cynnydd yn rheolaidd bob tro mae'r Pwyllgor Archwilio yn cyfarfod.

**Ymgynghorwyd â'r pwyllgor craffu perthnasol : AMHERTHNASOL**

**Angen i'r Bwrdd Gweithredol wneud penderfyniad : AMHERTHNASOL**

**Angen i'r Cyngor wneud penderfyniad : AMHERTHNASOL**

**YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-**

Cynghorydd David Jenkins

**Y Gyfarwyddiaeth:**

Gwasanathau Corfforaethol

**Enw Pennaeth y Gwasanaeth:**

Phil Sexton

**Awdur yr Adroddiad:**

Helen Pugh

**Swyddi:**

Pennaeth Archwilio, Risg a  
Chaffael

Rhelowr Archwilio a Risg

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**EXECUTIVE SUMMARY**  
**Audit Committee**  
**18<sup>th</sup> December 2015**

**SUBJECT**

**INTERNAL AUDIT PLAN UPDATE 2015/16**

**1. BRIEF SUMMARY OF PURPOSE OF REPORT.**

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

**REPORT A (i) Internal Audit Plan 2015/16 - Progress Report**

**REPORT A(ii) Internal Audit Plan 2015/16 – Recommendations Scoring Matrix**

**REPORT B Summary of Completed Final Reports 2015/16 Relating to Key Financial Systems (April 2015 to date)**

A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

1	Banking
2	Cash Receipting

**REPORT C – Priority 1 Recommendations Relating to Reviews of Other Systems and Establishment Audits**

This Section includes Reviews completed since April 2015 where Systems have one or more Fundamental Control Weaknesses or involve Reviews which the Chair of Audit Committee and the Audit & Risk Manager have agreed should be brought to the Committee

1	Supporting People Grant 2014/15
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**DETAILED REPORT ATTACHED ?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Phil Sexton** **Head of Audit, Risk & Procurement**

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	<b>YES</b>	NONE	NONE	NONE	NONE

### Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Phil Sexton** **Head of Audit, Risk & Procurement**

1. **Scrutiny Committee** : Not Applicable
2. **Local Member(s)** : Not Applicable
3. **Community / Town Council** : Not Applicable
4. **Relevant Partners** : Not Applicable
5. **Staff Side Representatives and other Organisations** : Not Applicable

### Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

**THESE ARE DETAILED BELOW**

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2014-17	AC 28-03-14	Internal Audit Unit