

PWYLLGOR ARCHWYLIO

1af Gorffennaf 2019

Y Pwnc: Cynllun Archwilio Mewnol		
Y Pwrpas: Y diweddaraf ynghylch ar Cynllun Archwilio Mewnol 2018/19 a 2019/20		
Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen: I dderbyn yr adroddiad.		
Y Rhesymau: Cyflwynir adroddiad cynnydd yn rheolaidd bob tro mae'r Pwyllgor Archwilio yn cyfarfod.		
Ymgynghorwyd â'r pwyllgor craffu perthnasol : AMHERTHNASOL		
Angen i'r Bwrdd Gweithredol wneud penderfyniad: AMHERTHNASOL Angen i'r Cyngor wneud penderfyniad: AMHERTHNASOL		
YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO: Cyngorydd David Jenkins		
Y Gyfarwyddiaeth: Gwasanathau Corfforaethol Enw Pennaeth y Gwasanaeth: Helen Pugh Awdur yr Adroddiad: Helen Pugh	Swyddi: Pennaeth Refeniw a Chydymffurfio Ariannol	Rhif ffôn: 01267 246223 Cyfeiriad e-bost: HPugh@sirgar.gov.uk

EXECUTIVE SUMMARY

Audit Committee

1st July 2019

SUBJECT

INTERNAL AUDIT PLAN 2018/19 and 2019/20 UPDATE

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

REPORT A (i) Internal Audit Plan 2018/19 and 2019/20 Progress Report

REPORT A(ii) Internal Audit Plan 2018/19 – Recommendations Scoring Matrix

REPORT B Summary of Completed Final Reports 2018/19 Relating to Key Financial Systems (April 2018 to date)

A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

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REPORT C Priority 1 Recommendations Relating to Reviews of Other Systems and Establishment Audits

This Section includes Reviews completed since April 2018 where Systems have one or more Fundamental Control Weaknesses or involve Reviews which the Chair of Audit Committee and the Head of Revenues & Financial Compliance have agreed should be brought to the Committee:

1	Business Support – Pool Cars
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DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Helen Pugh**

Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Helen Pugh Head of Revenues and Financial Compliance**

1. **Scrutiny Committee:** Not Applicable
2. **Local Member(s):** Not Applicable
3. **Community/Town Council:** Not Applicable
4. **Relevant Partners:** Not Applicable
5. **Staff Side Representatives and other Organisations:** Not Applicable

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2019-22	AC 22-03-19	Internal Audit Unit