PWYLLGOR ARCHWYLIO

22 Mawrth 2019

Y Pwnc: COFRESTR RISG CORFFORAETHOL **Y Pwrpas:** I dderbyn y Cofrestr Risg Corfforaethol		
Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen: ▪ I dderbyn y Cofrestr Risg Corfforaethol.		
Y Rhesymau: I sicrhau fod y Pwyllgor Archwilio wedi eu hysbysu o'r Risgiau Corfforaethol.		
Ymgynghorwyd â'r pwyllgor craffu perthnasol : AMHERTHNASOL		
Angen i'r Bwrdd Gweithredol wneud penderfyniad: AMHERTHNASOL **Angen i'r Cyngor wneud penderfyniad:** AMHERTHNASOL		
YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO: Cynghorydd David Jenkins		
Y Gyfarwyddiaeth: Gwasanathau Corfforaethol **Enw Pennaeth y Gwasanaeth:** Helen Pugh **Awdur yr Adroddiad:** Helen Pugh	**Swyddi:** Pennaeth Refeniw a Chydymffurfio Ariannol	**Rhif ffôn:** 01267 246223 **Cyfeiriad e-bost:** HLPugh@sirgar.gov.uk

EXECUTIVE SUMMARY
Audit Committee
22nd March 2019

SUBJECT

Corporate Risk Register

The Authority maintains a Corporate Risk Register to evaluate its exposure to key strategic risks. The Corporate Assessment recommended that the Corporate Risk Register as agreed by CMT should be shared with the Audit Committee. Review and monitoring of the Corporate Risk Register is delegated to Audit Committee in line with the Terms of Reference of the Audit Committee.

The Authority operate a 5x5 Scoring System with a maximum potential score of 25 (i.e. 5x5). The scoring methodology for both Impact and Likelihood is attached.

The following changes are to be noted:

CMT approved the removal of one risk from the Corporate Risk Register:

i) **CR20180008 - Transforming business operations through effective Digital Transformation**. - The Digital Transformation Steering group now in its third year. It is considered that the expressions of interest for digital projects process is now embedded throughout the authority and the number of bids have increased significantly. Annual reports are taken to DMT / CMT / PEB / P&R for review. Even though the Strategy is due to be updated due to changes in technology, officers have now assessed this risk as low (previously medium risk). It is proposed therefore that as the risk has reduced that it no longer is a corporate risk and that it should now be monitored through the Department's Service Risk Register.

CMT approved the addition of two risks to the Corporate Risk Register:

i) No deal Brexit
ii) Change in leadership due to the current CE retiring

CMT agreed to split the Safeguarding Risk into two.

i) One risk for Safeguarding Adults and a separate one for Safeguarding Children. This would allow for Impact scoring to be different for the two separate risks in order to match the Safeguarding Risk Register, which is monitored regularly by the Safeguarding Group, where the Impact for Adults is 'Substantial' while the Impact for Children remains 'Catastrophic'

DETAILED REPORT ATTACHED?	YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Helen Pugh** **Head of Revenues and Financial Compliance**

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	NONE	NONE	**Yes**	NONE	NONE

Risk Management

The Authority maintains a Corporate Risk Register to evaluate its exposure to key strategic risks. The Corporate Assessment recommended that the Corporate Risk Register be shared with the Audit Committee. The Register will be reviewed by the Audit Committee.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Helen Pugh Head of Revenues and Financial Compliance**

1. Scrutiny Committee: Not Applicable
2. Local Member(s): Not Applicable
3. Community/Town Council: Not Applicable
4. Relevant Partners: Not Applicable
5. Staff Side Representatives and other Organisations: Not Applicable

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

There are none.