

CYD-BWYLLGOR ERW 12.10.18

COFNOD RISG CORFFORAETHOL (BYGYTHIADAU) 2018-19

Y Pwrpas:

Yn dilyn adborth gan y Cyd-Bwyllgor, mae'r Cofnod Risg wedi ei ail-ddrafftio, yn dilyn adborth a chyngor gan y Swyddog Adran 151

YR ARGYMHELLION / PENDERFYNIADAU ALLWEDDOL SYDD EU HANGEN:

- Adolygu a chytuno lefelau goddefiant er mwyn i gwaith pellach parhau
 - Adolygu a thrafod gweithredu er mwyn lliniaru

Y RHESYMAU:

Mecanwaith Nodi Risg priodol, sicrwydd i Awdit Mewnol a'r Adran Cyllid.

Awdur yr Adroddiad:	Swydd:	Rhif Ffon
Jon Haswell	Swyddog Adran 151	E: bost

EXECUTIVE SUMMARY
ERW JOINT COMMITTEE
12.10.2018

Risk Register

BRIEF SUMMARY OF PURPOSE OF REPORT

The report contains:

Introduction – A brief narrative the purpose of the Risk Register Report

Risk Evaluation Matrix – The underlying methodology for analysing and scoring risks

Corporate Business Risks Heat Map – A quick assessment of the highest scoring risks on the register

Index – A summarisation and index of the body of the report

Contextualisation – Some information on ERW

Risks – The main section of the report, where each risk is analysed individually

- The changes include content, reporting format, with a focus on the Central and Finance Register. This report does not include work of Local Authorities.
- It should be noted that there are significant risks, many of which are long standing and which have not yet been mitigated despite activity.

For Clarity

- An “Inherent risk score” is a scoring of the risk before any mitigating factors are applied
- A “Residual risk score” is the scoring of the risk after mitigating actions are applied

Note: The Executive Board advise that risks 2 and 3 will be subject to changes in the coming few weeks.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

Finance YES	Risk Management Issues YES	Staffing Implications YES
1. Finance There is a section in the report dedicated to financial risks		
2. Risk Management The Risk Register is ERW's main mechanism for capturing systemic risk.		
3. Staffing Implications Some of the risks are related to capacity and organisational design.		

CONSULTATIONS

Details of any consultations undertaken are to be included here N/A

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:
THERE ARE NONE