

PWYLLGOR ARCHWILIO

28th September 2018

Y Pwnc : COFRESTR RISG CORFFORAETHOL

Y Pwrpas: I dderbyn y Cofrestr Risg Corfforaethol

Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

- I dderbyn y Cofrestr Risg Corfforaethol.
- I sicrhau fod y Cofrestr Risg Corfforaethol yn parhau i gael ei gyflwyno i'r Pwyllgor Archwilio

Y Rhesymau:

I sicrhau fod y Pwyllgor Archwilio wedi eu hysbysu o'r Risgiau Corfforaethol.

Ymgynghorwyd â'r pwyllgor craffu perthnasol : AMHERTHNASOL

Adolygu a monitor y Cofrestr Risg Corfforaethol wedi ei ddirprwyo i'r Pwyllgor Archwilio yn unol a Chylch Gorchwyl y Pwyllgor Archwilio.

Angen i'r Bwrdd Gweithredol wneud penderfyniad:

AMHERTHNASOL - Swyddogaeth y Pwyllgor Archwilio

Angen i'r Cyngor wneud penderfyniad:

AMHERTHNASOL - Swyddogaeth y Pwyllgor Archwilio

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-

Cynghorydd David Jenkins

Y Gyfarwyddiaeth:

Gwasanaethau Corfforaethol

Enw Pennaeth y

Gwasanaeth:

Helen Pugh

Awdur yr Adroddiad:

Helen Pugh

Swyddi:

Pennaeth Refeniw a
Chydymffurfio Ariannol

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EXECUTIVE SUMMARY

AUDIT COMMITTEE

28th September 2018

SUBJECT

CORPORATE RISK REGISTER

The Council has a mature approach to Risk Management and has maintained a Corporate Risk Register for some years. The Corporate Assessment undertaken by Wales Audit Office concluded that a clear framework is in place to manage corporate and project risks with dedicated senior political and officer leadership and risk champions at departmental level. Risks are overseen by a Risk Management Steering Group which includes political representation from the Council's Executive.

The Corporate Assessment recommended that the Corporate Risk Register as approved by CMT should be shared with the Audit Committee.

Arrangements will be put in place to ensure that the Corporate Risk Register is also taken to Preliminary Executive Board.

The Authority have utilised the Services of an External Risk Consultant (Zurich Risk Consulting) to provide external challenge. The services were provided at no additional cost to the Authority given Zurich Municipal provide the Authority's Insurance Liability Cover. The external challenge proved useful to ensure that the risks were strategic in nature and the scoring was sound and evidenced.

The Authority operate a 5x5 Scoring System with a maximum potential score of 25 (i.e. 5x5). The scoring methodology for both Impact and Likelihood is attached.

The Audit Committee received for consideration the Corporate Risk Register at its March 2018 meeting. The Committee agreed that it would be beneficial to have an update on the risks in question and to receive a verbal update from Officers on the risks relating to:

- Delivery of the Authority's waste management and recycling obligations (including meeting Landfill Targets).
- Ensuring effective People Management.

**DETAILED REPORT
ATTACHED?**

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Helen Pugh - Head of Revenues and Financial Compliance

Policy, Crime & Disorder and Equalities NONE	Legal NONE	Finance NONE	ICT NONE	Risk Manageme nt Issues YES	Staffing Implications NONE	Physical Assets NONE
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1. Risk Management Issues

The Authority maintains a Corporate Risk Register to evaluate its exposure to key strategic risks. The Corporate Assessment recommended that the Corporate Risk Register should be shared with the Audit Committee. The Register will be reviewed by the Audit Committee.

Arrangements will be put in place to ensure that the Corporate Risk Register is also brought to Preliminary Executive Board.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Helen Pugh - Head of Revenues and Financial Compliance

- 1. Scrutiny Committee:** Not Applicable
- 2. Local Member(s)** Not Applicable
- 3. Community / Town Council** Not Applicable
- 4. Relevant Partners** Not Applicable
- 5. Staff Side Representatives and other Organisations** Not Applicable

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THERE ARE NONE