PWYLLGOR CRONFA BENSIWN DYFED
DYDDIAD 18/09/2018

Adroddiad Torri Amodau
YR ARGYMHELLION / PENDERFYNIADAU ALLWEDDOL SYDD EU HANGEN: Nodi unrhyw achosion o dorri amodau sydd wedi digwydd yng Nghronfa Bensiwn Dyfed.
RHESYMAU: Yn unol â Pholisi Torri Amodau Cronfa Bensiwn Dyfed.

Awdur yr Adroddiad:	Swydd:	Rhif ffôn
Chris Moore	**Cyfarwyddwr y Gwasanaethau Corfforaethol,** **Cyngor Sir Gâr**	**01267 224120** **e-bost:** **CMoore@sirgar.gov.uk**

DYFED PENSION FUND COMMITTEE

DATE 18/09/2018

Breaches Report 2018-19

BRIEF SUMMARY OF PURPOSE OF REPORT

Introduction

Section 70 of the Pension Act 2004 sets out the legal duty to report breaches of the law. In the Code of Practice no 14, published by the Pensions Regulator in April 2015, paragraphs 241 to 275 provides guidance on reporting these breaches. The Dyfed Pension Fund Breaches Policy was approved by the Dyfed Pension Fund Panel in March 2016.

Under the policy, breaches of the law are required to reported to the Pensions Regulator where there is a reasonable cause to believe that:

- a legal duty which is relevant to the administration of the scheme has not been, or is not being, complied with
- the failure to comply is likely to be of material significance to the regulator in the exercise of any of its functions.

The up to date breaches report is attached. Since the last Committee meeting, there have been a number of instances where employee/employer contributions have not been received on time, no report has been sent to the Pensions Regulator.

DETAILED REPORT ATTACHED?	YES

IMPLICATIONS

Policy, Crime & Disorder and Equalities	Legal	Finance	Risk Management Issues	Staffing Implications
NONE	**NONE**	**NONE**	**NONE**	**NONE**

CONSULTATIONS

Details of any consultations undertaken are to be included here

None

Section 100D Local Government Act, 1972 – Access to Information **List of Background Papers used in the preparation of this report:** **THESE ARE DETAILED BELOW**		
Title of Document	**File Ref No.**	**Locations that the papers are available for public inspection/WEBSITE LINK**