

Y BWRDD GWEITHREDOL

26 MAWRTH, 2018

POLISI GORFODI CORFFORAETHOL

YR ARGYMHELLION / PENDERFYNIADAU ALLWEDDOL SYDD EU HANGEN:

- Ystyried y sylwadau a wnaed yn ystod y broses ymgynghori.
- Mabwysiadu'r Polisi Gorfodi Corfforaethol diwygiedig o 1 Ebrill 2018.

Y RHESYMAU:

Daeth Côd y Rheoleiddwyr i rym yn statudol ar 6 Ebrill 2014 o dan [Ddeddf Diwygio Deddfwriaethol a Rheoleiddiol 2006](#) ac mae'n darparu fframwaith clir, hyblyg a seiliedig ar egwyddorion ar gyfer sut y dylai rheoleiddwyr ymgysylltu â'r rheiny y maent yn eu rheoleiddio.

Mae'r rheoleiddwyr a'r swyddogaethau rheoleiddio y mae Côd y Rheoleiddwyr yn berthnasol iddynt wedi eu pennu yng [Ngorchymyn Diwygio Deddfwriaethol a Rheoleiddiol \(Swyddogaethau Rheoleiddio\) 2007](#), fel y'i diwygiwyd yn [2009](#), [2010](#) a [2014](#). Mae'n rhaid i awdurdodau lleol roi sylw iddo wrth ddatblygu polisiau a gweithdrefnau sy'n arwain eu gweithgareddau rheoleiddio.

Angen ymgynghori â'r Pwyllgor Craffu perthnasol:

Oes - Y Pwyllgor Craffu -
Polisi ac Adnoddau –
21 Mawrth 2018

Bydd sylwadau llafar gan y Pwyllgor Craffu yn cael eu darparu i'r Bwrdd Gweithredol ar y diwrnod

Angen i'r Bwrdd Gweithredol wneud penderfyniad OES – 26 Mawrth 2018
Angen i'r Cyngor wneud penderfyniad NAC OES

Yr Aelod o'r Bwrdd Gweithredol sy'n Gyfrifol am y Portffolio:

Y Cyngorydd P. Hughes (Deiliad Portffolio Diogelu'r Cyhoedd a'r Amgylchedd)

Y Gyfarwyddiaeth Cymunedau Enw Pennaeth y Gwasanaeth: Jonathan Morgan Awdur yr Adroddiad: Sue Watts	Swydd: Pennaeth Dros Dro Cartrefi a Chymunedau Mwy Diogel Rheolwr Diogelu'r Amgylchedd	Rhifau ffôn: Cyfeiriadau E-bost: 01267 228960 RStaines@sirgar.gov.uk 01267 228929 sewatts@sirgar.gov.uk
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EXECUTIVE SUMMARY
EXECUTIVE BOARD
26TH MARCH, 2018

CORPORATE ENFORCEMENT POLICY

Background

Carmarthenshire County Council (the Council) is committed to protecting the community from harm and enforcement is one of the tools used by this Authority to fulfil this commitment. The Council believes that 'prevention is better than cure' and that through actively working with businesses, individuals and the community, by providing advice and assistance with regards compliance, we can reduce the need for enforcement action. There are, however, occasions where enforcement is the only option. Where enforcement action is needed, the council will take a consistent, fair and proportionate approach so as not to place too onerous a burden on local businesses, organisations, customers and the public.

Regulatory services within the Local Authority must have consideration to Section 6 of the Regulators' Code. This sets out Government's expectation that local authorities will ensure 'good regulation' and that their approach to their regulatory activities is transparent. The provisions also include an expectation that local authorities will publish a clear set of service standards, setting out what those they regulate should expect from them. This includes their enforcement policy, explaining how they respond to non-compliance.

This policy applies to the following specified regulatory areas:

- Environmental Health
- Trading Standards
- Licensing
- Animal Welfare
- Private Sector Housing
- Public Sector Housing
- Planning
- Building Regulation
- Waste Regulation
- Highways
- School attendance
- Benefit Regulation

and any other area that may become applicable by statute.

The Executive Board approved the consultation exercise in the meeting of 26th June 2017. As a result of the consultation exercise (including website) there are minor alterations to the Policy.

These include:

Point 2.7 – this has been added to include the Welsh Language Act 1993.

Point 4.3.1 – to include the following: ‘Planning Contravention Notices’, ‘Planning Enforcement Notices’, ‘Breach of Condition Notices’, ‘Temporary Stop Notices’

Point 4.5 – to include permits/consents/permissions, operations and Planning as appropriate.

Point 4.8.2 - the wording has been altered to reflect the process for a simple caution.

A revised Corporate Enforcement policy document, incorporating amendments to reflect the relevant consultation responses is attached. These amendments have been approved through the Corporate Multi-Disciplinary Enforcement Group.

Once approved by Executive Board, the revised Corporate Enforcement Policy will come into effect on the 1st April 2018.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Jonathan Morgan Acting Head of Homes and Safer Communities

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	YES	NONE	NONE	YES	NONE	NONE

1. Policy, Crime & Disorder and Equalities

Section 6 of the Regulators’ Code which sets out Government’s expectation that local authorities will ensure ‘good regulation’ and that their approach to their regulatory, criminal activities is transparent. This includes the provision of an Enforcement Policy to outline that the council will take a consistent, fair and proportionate approach so as not to place too onerous a burden on local businesses, organisations, customers and the public.

2. Legal

Section 6 of the Regulators’ Code which sets out Government’s expectation that local authorities will ensure ‘good regulation’ and that their approach to their regulatory criminal activities is transparent. This includes the provision of an Enforcement Policy to outline that the council will take a consistent, fair and proportionate approach so as not to place too onerous a burden on local businesses, organisations, customers and the public.

4. Risk Management Issues

Failure to adopting and implementing the Enforcement Policy could result in successful challenges during enforcement.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Jonathan Morgan Acting Head of Homes and Safer Communities

The draft enforcement policy was placed on the Council's website for comment as part of the consultation exercise for a six week period. Additionally, the draft Enforcement policy was further discussed at the Corporate Multi-Disciplinary Enforcement Group.

1. Scrutiny Committee – The report will be considered by Members of the Policy & Resources Scrutiny Committee on 21st March. Verbal comments will be provided to Executive Board on the day.

2. Local Member(s) N/A

3. Community / Town Council N/A

4. Relevant Partners N/A

5. Staff Side Representatives and other Organisations N/A

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:

THESE ARE LISTED BELOW.

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Legislative & Regulatory Reform Act 2014		http://www.legislation.gov.uk/ukpga/2006/51/contents
The Legislative & Regulatory Reform (Regulatory Functions) Order 2007 as amended in 2009, 2010 & 2014		http://www.legislation.gov.uk/uksi/2007/3544/contents/made http://www.legislation.gov.uk/uksi/2009/2981/contents/made http://www.legislation.gov.uk/uksi/2010/3028/contents/made http://www.legislation.gov.uk/uksi/2014/860/contents/made
Report to Executive Board – 26 th June, 2017 (Item 6) – Corporate Enforcement Policy		http://democracy.carmarthenshire.gov.wales/ieListDocuments.aspx?CId=131&MId=742&Ver=4