

Dyfed Pension Fund

Markets in Financial Instruments Directive II (MiFID II)

Since the Pension Committee dated 21st September 2017, applications were submitted for Carmarthenshire County Council as administering authority for the Dyfed Pension Fund to opt up to Professional Client status for MiFID II purposes.

The Dyfed Pension Fund was required to establish a Legal Entity Identifier number (LEI). This was set up in October. Dyfed Pension Fund's LEI is: 549300H9T06XDBE5GN14

Company Name	Role	Application Status	Notes
BlackRock	Investment Manager	Application submitted October 2017	Opt up complete
Schroders	Investment Manager	Application submitted October 2017	Opt up complete
Partners Group	Investment Manager	Application submitted October 2017	Opt up complete
Baillie Gifford	Investment Manager	Application submitted October 2017	Opt up complete
Columbia Threadneedle	Investment Manager	Application submitted October 2017	Opt up complete
Northern Trust	Custodian	Application submitted October 2017	Opt up complete.
Hymans Robertson	Wales Pension Partnership Consultant	Application submitted November 2017	Opt up complete
Standard Life (via Schroders)	Pooled Property investment fund via Schroders	Application submitted November 2017	Awaiting confirmation from Schroders
Link Asset Services	Wales Pension Partnership Operator	Application submitted January 2018	Opt up complete
Russell Investments	Consultants to the Wales Pension Partnership Operator	Application submitted January 2018	Awaiting confirmation