

PWYLLGOR ARCHWYLIO

14 Gorffennaf 2017

Y Pwnc: Cynllun Archwilio Mewnol

Y Pwrpas:

Y diweddaraf ynghylch ar Cynllun Archwilio Mewnol 2016/17 a 2017/18

Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

I dderbyn yr adroddiad

Y Rhesymau:

Cyflwynir adroddiad cynnydd yn rheolaidd bob tro mae'r Pwyllgor Archwilio yn cyfarfod.

Ymgynghorwyd â'r pwyllgor craffu perthnasol : AMHERTHNASOL

Angen i'r Bwrdd Gweithredol wneud penderfyniad : AMHERTHNASOL

Angen i'r Cyngor wneud penderfyniad : AMHERTHNASOL

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-

Cynghorydd David Jenkins

Y Gyfarwyddiaeth:

Gwasanathau Corfforaethol

Enw Pennaeth y Gwasanaeth:

Helen Pugh

Awdur yr Adroddiad:

Helen Pugh

Swyddi:

Pennaeth Dros Dro Archwilio,
Risg a Chaffael

Pennaeth Dros Dro Archwilio,
Risg a Chaffael

Rhif ffôn: 01267 246217

Cyfeiriad E-bost:

HPugh@sirgar.gov.uk

Rhif ffôn: 01267 246223

Cyfeiriad E-bost:

HPugh@sirgar.gov.uk

EXECUTIVE SUMMARY
Audit Committee
14th July 2017

SUBJECT

INTERNAL AUDIT PLAN UPDATE 2016/17 & 2017/18

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

To provide Members with progress of the Internal Audit Plan. The following Reports are attached:

REPORT A (i) Internal Audit Plan 2016/17 - Progress Report

REPORT A (i) Internal Audit Plan 2017/18 – Progress Report

REPORT A(ii) Internal Audit Plan 2016/17 – Recommendations Scoring Matrix

REPORT B Summary of Completed Final Reports 2016/17 Relating to Key Financial Systems (April 2015 to date) A Summary of Final Reports for the Key Systems completed during the last Quarter is attached.

1	Treasury Management
2	Investments
3	VAT
4	Creditors
5	Main Accounting
6	Cash Receipting
7	Banking

REPORT C – Priority 1 Recommendations Relating to Reviews of Other Systems and Establishment Audits This Section includes Reviews completed since April 2016 where Systems have one or more Fundamental Control Weaknesses or involve Reviews which the Chair of Audit Committee and the Audit & Risk Manager have agreed should be brought to the Committee

1	Departmental Contract Management
2	Capital Maintenance
3	Coedcae Area Sport Centre

DETAILED REPORT ATTACHED ?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Phil Sexton**

Head of Audit, Risk & Procurement

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

Finance

Reviews carried out to ensure systems in place comply with the Authority's Financial Procedure Rules.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Helen Pugh**

Interim Head of Audit, Risk & Procurement

1. **Scrutiny Committee** : Not Applicable
2. **Local Member(s)** : Not Applicable
3. **Community / Town Council** : Not Applicable
4. **Relevant Partners** : Not Applicable
5. **Staff Side Representatives and other Organisations** : Not Applicable

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Strategic Audit Plan 2014-17	AC 28-03-14	Internal Audit Unit