Y BWRDD GWEITHREDOL

26 MEHEFIN, 2017

POLISI GORFODI CORFFORAETHOL

YR ARGYMHELLION / PENDERFYNIADAU ALLWEDDOL SYDD EU HANGEN:

1.1 Diweddaru'r testun yn Safon y Gwasanaeth sy'n amgaeedig mewn perthynas â'r rhan 'Apwyntiadau' i'r canlynol:

Mae ein holl Ganolfannau Gwasanaethau Cwsmeriaid yn gweithredu system apwyntiadau. Y nod yw gwella'r profiad i'r cwsmer a gwella'r ddarpariaeth gwasanaeth. Bellach gallwch archebu apwyntiad yn unrhyw un o'n Canolfannau Gwasanaethau Cwsmeriaid yn Rhydaman, Caerfyrddin a Llanelli ar ddyddiad ac amser sy'n gyfleus ichi.

Os ydych yn dymuno gwneud apwyntiad neu drafod unrhyw fater sy'n ymwneud â'r Cyngor, ffoniwch ein Canolfan Gyswllt - 01267 234567. Gallwch archebu a rheoli eich apwyntiadau ar-lein.

1.2 Dechrau proses ymgynghori â rhanddeiliaid priodol am gyfnod o 6 wythnos

1.3 Rhoi ystyriaeth i'r sylwadau a wnaed yn ystod y broses ymgynghori, gyda golwg ar fabwysiadu'r Polisi Gorfodi Corfforaethol.

Y RHESYMAU:

Daeth Côd y Rheoleiddwyr i rym statudol ar 6 Ebrill 2014 dan Ddeddf Diwygio Deddfwriaethol a Rheoleiddiol 2006 ac mae'n darparu fframwaith clir a hyblyg, sy'n seiliedig ar egwyddorion, ynghylch sut y dylai rheoleiddwyr ymgysylltu â'r rheiny y maent yn eu rheoleiddio.

Nodir y rheoleiddwyr a'r swyddogaethau rheoleiddiol y mae Côd y Rheoleiddwyr yn berthnasol iddynt yng Ngorchymyn Diwygio Deddfwriaethol a Rheoleiddiol (Swyddogaethau Rheoleiddiol) 2007, fel y'i diwygiwyd yn 2009, 2010 a 2014. Mae'n rhaid i awdurdodau lleol roi ystyriaeth iddo wrth ddatblygu polisïau a gweithdrefnau sy'n llywio eu gweithgareddau rheoleiddiol.

Angen ymgynghori â'r Pwyllgor Craffu perthnasol: NAC OES

Angen i'r Bwrdd Gweithredol wneud penderfyniad OES – 26 Mehefin, 2017

Angen i'r Cyngor wneud penderfyniad NAC OES

Yr Aelod o'r Bwrdd Gweithredol sy'n Gyfrifol am y Portffolio:
Y Cyng. Phillip Hughes (Deiliad y Portffolio Diogelu'r Cyhoedd)

Y Gyfarwyddiaeth Cymunedau	**Swyddi:**	**Rhifau ffôn:**
Enw Pennaeth y Gwasanaeth: **Robin Staines**	**Pennaeth Tai, Diogelu'r Cyhoedd a Gwasanaethau Darparwr**	**Cyfeiriadau E-bost:** 01267 228960 RStaines@sirgar.gov.uk
Awdur yr Adroddiad: **Sue Watts**	**Rheolwr Iechyd yr Amgylchedd a Thrwyddedu**	01267 228929 sewatts@sirgar.gov.uk

EXECUTIVE SUMMARY
EXECUTIVE BOARD
26TH JUNE, 2017

CORPORATE ENFORCEMENT POLICY

Background

Carmarthenshire County Council (the Council) is committed to protecting the community from harm and enforcement is one of the tools used by this Authority to fulfil this commitment. The Council believes that 'prevention is better than cure' and that through actively working with businesses, individuals and the community, by providing advice and assistance with regards compliance, we can reduce the need for enforcement action. There are, however, occasions where enforcement is the only option. Where enforcement action is needed, the council will take a consistent, fair and proportionate approach so as not to place too onerous a burden on local businesses, organisations, customers and the public.

Regulatory services within the Local Authority must have consideration to Section 6 of the Regulators' Code. This sets out Government's expectation that local authorities will ensure 'good regulation' and that their approach to their regulatory activities is transparent. The provisions also include an expectation that local authorities will publish a clear set of service standards, setting out what those they regulate should expect from them. This includes their enforcement policy, explaining how they respond to non-compliance.

This policy applies to the following specified regulatory areas:

- Environmental Health
- Trading Standards
- Licensing
- Animal Welfare,
- Private Sector Housing,
- Public Sector Housing,
- Planning,
- Building Regulation,
- Waste Regulation,
- Highways,
- School attendance
- Benefit Regulation

and any other area that may become applicable by statute.

The Service Standards and the Enforcement Policy have been collated as a result of corporate consultation within the relevant Departments. Approval is sought to consult widely by means of the corporate website.

DETAILED REPORT ATTACHED ?	YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: Robin Staines **Head of Housing, Public Protection and Provider Services**

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
YES	**YES**	**NONE**	**NONE**	**YES**	**NONE**	**NONE**

1. Policy, Crime & Disorder and Equalities

Section 6 of the Regulators' Code which sets out Government's expectation that local authorities will ensure 'good regulation' and that their approach to their regulatory activities is transparent. This includes the provision of an Enforcement Policy to outline that the council will take a consistent, fair and proportionate approach so as not to place too onerous a burden on local businesses, organisations, customers and the public.

2. Legal

Section 6 of the Regulators' Code which sets out Government's expectation that local authorities will ensure 'good regulation' and that their approach to their regulatory activities is transparent. This includes the provision of an Enforcement Policy to outline that the council will take a consistent, fair and proportionate approach so as not to place too onerous a burden on local businesses, organisations, customers and the public.

4. Risk Management Issues

Failure to adopting and implementing the Enforcement Policy could result in successful challenges during enforcement.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: Robin Staines **Head of Housing, Public Protection and Provider Services**

1. Scrutiny Committee N/A

2.Local Member(s) N/A

3.Community / Town Council N/A

4.Relevant Partners N/A

5.Staff Side Representatives and other Organisations N/A

Section 100D Local Government Act, 1972 – Access to Information
List of Background Papers used in the preparation of this report:

THESE ARE LISTED BELOW.

Title of Document	File Ref No.	Locations that the papers are available for public inspection

The Legislative and Regulatory Reform (Regulatory Functions) Order 2006		http://www.legislation.gov.uk/ukpga/2006/51/contents
The Legislative and Regulatory Reform (Regulatory Functions) Order 2007 (as amended in 2009, 2010 and 2014)		http://www.legislation.gov.uk/uksi/2007/3544/contents/made http://www.legislation.gov.uk/uksi/2009/2981/contents/made http://www.legislation.gov.uk/uksi/2010/3028/contents/made http://www.legislation.gov.uk/uksi/2014/860/contents/made
Regulator's Code		https://www.gov.uk/government/publications/regulators-code
The Code for Crown Prosecutors		http://www.cps.gov.uk/publications/code_for_crown_prosecutors/