

# PWYLLGOR ARCHWILIO

## 6<sup>ed</sup> O IONAWR 2017

### Diweddariad ynghylch y Cynllun Archwilio Mewnol 2016/17

#### Yr argymhellion / penderfyniadau allweddol sydd eu hangen:

- Bod y Pwyllgor yn derbyn y diweddariad ynghylch y Cynllun Archwilio Mewnol 2016/17.

#### Rhesymau:

- Cyflwynir adroddiad cynnydd rheolaidd bob tro y mai'r Pwyllgor Archwilio yn cwrdd.

Ymgynghorwyd â'r pwyllgor craffu perthnasol: AMHERTHNASOL  
Angen i'r Bwrdd Gweithredol wneud penderfyniad: AMHERTHNASOL  
Angen i'r Cyngor wneud penderfyniad: AMHERTHNASOL

**Aelod y Bwrdd Gweithredol sy'n gyfrifol am y Portffolio:**  
Cyng. David Jenkins (Adnoddau)

<b>Y Gyfarwyddiaeth:</b> Gwasanaethau Corfforaethol	<b>Swyddi:</b>	<b>Rhifau Ffôn / Cyfeiriadau E-bost:</b>
<b>Enw Pennaeth y Gwasanaeth:</b> Phil Sexton	Pennaeth Archwilio, Risg a Chaffael	01267 246217 <a href="mailto:psexton@sirgar.gov.uk">psexton@sirgar.gov.uk</a>
<b>Awdur yr adroddiad:</b> Helen Pugh	Rheolydd Archwilio a Risg	01267 246223 <a href="mailto:hlpugh@sirgar.gov.uk">hlpugh@sirgar.gov.uk</a>

## EXECUTIVE SUMMARY

# AUDIT COMMITTEE

## 6<sup>th</sup> JANUARY 2017

### Internal Audit Plan 2016/17 Update

This report provides the Committee members with progress of the Internal Audit Plan.

The following reports are attached:

**REPORT A (i): Internal Audit Plan 2016/17 – Progress Report**

**REPORT A (ii): Internal Audit Plan 2016/17 – Recommendations Scoring Matrix**

**REPORT B: Summary of Completed Final Reports 2016/17 relating to Key Financial Systems (April 2016 to date)**

A summary of final reports for the key systems completed during the last quarter is attached:

1. Pensions Payroll
2. Payroll

**DETAILED REPORT ATTACHED?**

**YES**

## IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report.

Signed: **Phil Sexton**      **Head of Audit, Risk & Procurement**

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

**3. Finance** – Reviews carried out to ensure systems in place comply with the Authority’s Financial Procedure Rules.

## CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below:

Signed: **Phil Sexton**      **Head of Audit, Risk & Procurement**

1. **Scrutiny Committee** – N/A
2. **Local Member(s)** – N/A
3. **Community / Town Council** – N/A
4. **Relevant Partners** – N/A
5. **Staff Side Representatives and other Organisations** – N/A

**Section 100D Local Government Act, 1972 – Access to Information**  
**List of Background Papers used in the preparation of this report:**

**THESE ARE DETAILED BELOW:**

Title of Document	File Ref No. / Locations that the papers are available for public inspection
Strategic Audit Plan 2016-19	AC 28-03-16 Internal Audit Unit